

Atty Docket No. 02307K-141583US

PTO FAX NO.: 703-306-4242

ATTENTION: Examiner Larry R. Helms
TELEPHONE NO.: 703-306-5879

Group Art Unit 1642

OFFICIAL

**RECEIVED
CENTRAL FAX CENTER**

NOV 12 2003

**OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER Helms**

CERTIFICATION OF FACSIMILE TRANSMISSION

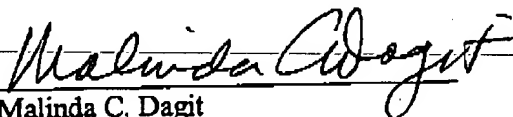
I hereby certify that the following document in re Application of Robert E. Reiter *et al.*, Application No. 09/855,632, filed May 14, 2001 for PSCA: PROSTATE STEM CELL ANTIGEN AND USES THEREOF is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. "Revocation and Substitution of Power of Attorney and Notification of Change of Correspondence Address" w/two 3.73(b) Statements and one Certificate of Amendment re name change.

Number of pages being transmitted, including this page: 10

Dated: November 11, 2003


Malinda C. Dagit

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, CA 94111-3834
Telephone: 415-576-0200
Fax: 415-576-0300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
Attorney Docket No.: 02307K-1415-83US
UC Case No. UC97-575-C

on 11 Nov. 2003

TOWNSEND and TOWNSEND and CREW LLP

By

Malinda Adrejt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

NOV 12 2003

OFFICIAL

In re application of:

ROBERT REITER *et al.*

Application No.: 09/855,632

Filed: May 14, 2001

For: PSCA: PROSTATE STEM CELL
ANTIGEN AND USES THEREOF

Examiner: Larry R. Helms

Art Unit: 1642

**REVOCATION AND SUBSTITUTION OF POWER OF ATTORNEY
BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

and

**NOTIFICATION OF CHANGE OF CORRESPONDENCE ADDRESS
AND ATTORNEY DOCKET NUMBER**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned assignees of the entire interest in the above-identified subject application hereby appoint Kevin L. Bastian, Reg. No. 34,774; M. Henry Heines, Reg. No. 28,219; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as their attorneys to prosecute this application and to

ROBERT REITER et al.
Page 2

Attorney Docket No.: 02307K-1415-83US
UC Case No. UC97-575-C

transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

The assignees are the owners of record of the entire interest. A Statement Under 37 CFR 3.73(b) is enclosed.

The assignee requests that correspondence concerning this application recite Attorney Docket no. 02307K-141583 and be directed to Townsend and Townsend and Crew, LLP: Customer Number 20350.

The assignee also requests that all telephone calls be directed to Jean M. Lockyer at (415) 576-0200. Please note new attorney docket number:

ASSIGNEE:

THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA

Dated: October 20, 2003

Signature:

Lorelei de Larena

Typed Name:

Lorelei de Larena

Title:

UCLA Intellectual Property Manager
Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

ASSIGNEE:

AGENSYS, INC

Dated: _____

Signature: _____

Typed Name: _____

Title: _____

Address: _____

1545 - 17th Street
Santa Monica, CA 90404

ROBERT REITER et al.
Page 2

Attorney Docket No.: 02307K-1415-83US
UC Case No. UC97-575-C

transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

The assignees are the owners of record of the entire interest. A Statement Under 37 CFR 3.73(b) is enclosed.

The assignee requests that correspondence concerning this application recite Attorney Docket no. 02307K-141583 and be directed to Townsend and Townsend and Crew, LLP: Customer Number 20350.

The assignee also requests that all telephone calls be directed to Jean M. Lockyer at (415) 576-0200. Please note new attorney docket number.

ASSIGNEE: THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA

Dated: _____

Signature: _____
Typed Name: _____
Title: _____

Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

Dated: 10/21/03

ASSIGNEE: AGENSYS, INC

Signature: Tracy M. Delan
Typed Name: Tracy M. Delan
Title: Asst. Corporate Secretary
Address: 1545 - 17th Street
Santa Monica, CA 90404

PTO/SB/86 (08-03)

Attorney Docket No. 02307K-1415-83US
UC Case no. UC97-575-C

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Robert E. Reiter, Lwen N. Witte, Douglas F. Saffran and Aya JakobovitsApplication No./Patent No.: 09/035,522Filed/Issue Date: May 14, 2001Entitled: PSCA: PROSTATE STEM CELL ANTIGEN AND USES THEREOFThe Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
 2. ☒ an assignee of less than the entire right, title and interest.
 The extent (by, percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011417, Frame 0860, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
 (NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 20, 2003

Date

310) 794-0558

Telephone number

Lorelei de Larena

Typed or printed name

[Signature]

Signature

UCLA Intellectual Property Manager

Title

60062570 v1

PTO/SB/96 (08-03)

Attorney Docket No. 02307K-1415-83US
UC Case no. UC97-575-C**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Robert E. Reiter, Lwen N. Witte, Douglas F. Saffran and Aya JakobovitsApplication No./Patent No.: 09/855,632Filed/Issue Date: May 14, 2001Entitled: PSCA: PROSTATE STEM CELL ANTIGEN AND USES THEREOFAgensys, Inc., a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Douglas C. Saffran and Aya Jakobovits To: UroGenesys, Incorporated
The document was recorded in the United States Patent and Trademark Office at Reel 011417, Frame 0860, or for which a copy thereof is attached.
2. From: UroGenesys, Incorporated To: Agensys, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached (re name change).
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached (re name change).
(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10/21/03
Date310-820-8029
Telephone numberTracy M. Dolan

Typed or printed name

Tracy M. Dolan
SignatureAsst. Corporate Secretary
Title

60062572 v1

OCT-16-2003 14:07

UroGenesys

310 820 8489 P.03/06

**SECRETARY OF STATE**

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That the attached transcript of 3 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

JUN 23 2001

Secretary of State

OCT-16-2003 14:07

UroGenesys

310 820 8489 P.04/06

**CERTIFICATE OF AMENDMENT
OF
AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
UROGENESYS, INC.**

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

JUN 14 2001

BILL JONES, Secretary of State

The undersigned certify that:

1. They are the President and the Secretary, respectively, of UroGenesys, Inc., a California corporation.
2. Article One of the Amended and Restated Articles of Incorporation of this corporation is amended and restated in its entirety to read as follows:

"The name of the Corporation is Agensys, Inc. (the "Corporation")."
3. The Board of Directors has duly approved the foregoing amendment of Amended and Restated Articles of Incorporation.
4. The foregoing amendment of Amended and Restated Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902 of the California Corporations Code. The total number of outstanding shares of the

LA_DCS\692728.1 (W97)

OCT-16-2003 14:07

UroGenesys

310 820 8489 P.05/06

corporation is 4,718,513 shares of Common Stock, 4,030,000 shares of Series A Preferred Stock and 4,520,000 shares of Series B Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required more than fifty percent (50%) of the outstanding shares of the Corporation.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 13th, 2001

Donald B. Rice, President



Alan C. Mendelson, Secretary

OCT-16-2003 14:07

UroGenesys

310 820 8489 P.06/06

corporation is 4,718,513 shares of Common Stock, 4,030,000 shares of Series A Preferred Stock and 4,520,000 shares of Series B Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required more than fifty percent (50%) of the outstanding shares of the Corporation.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 13th 2001


Donald B. Rice, President

Alan C. Mendelson, Secretary



LA_DCS692728.1 [W97]